



EMINENT WEALTH ADMINISTRATION SERVICES

FSP 38096

DISCLOSURE NOTICE IN TERMS OF FINANCIAL ADVISORY & INTERMEDIARY SERVICES ACT NO. 37 2002 "FAIS"

Important – Please Read Carefully

Eminent Wealth Administration Services (Pty) Ltd is a licensed Financial Services Provider, license number, 38096. A copy of our FSB license is available upon request.

1. Contact Details:

Physical Address: Warich Close Office Park, Block C, 1st Floor, 39 Van Vuuren Road, Allens Nek Ext 47, Roodepoort, 1724

Postal Address: Private Bag X2, Weltevreden Park, Roodepoort, 1715

Tel No: (086) 163 6840

Fax No: (086) 577 1151

Website: www.eminentwealth.co.za

2. Financial Services:

Eminent Wealth Administration Services (Pty) Ltd offer Financial Services and or Products that fall in the following product categories:

CATEGORY I	
Long-term Insurance: subcategory A	Retail Pension Benefits
Short-term Insurance Personal Lines	Pension Fund Benefits (excluding retail pension benefits)
Long-term Insurance	Participatory Interests in Collective Investment



subcategory B1	Schemes
Long-term Insurance subcategory C	Long-term Insurance subcategory B2
CATEGORY IV – ASSISTANCE BUSINESS FSP	
Assistance Business FSP	

3. Authorised Key Individuals and Representatives:

Eminent Wealth Administration Services (Pty) Ltd has duly authorised the individual below to render advice and intermediary services as defined in terms of FAIS:

Key Individual	Representative
Walter van der Merwe	Walter van der Merwe

Eminent Wealth Administration Services (Pty) Ltd has the necessary controls and procedures in place to ensure that the Representative comply with the prescribed FAIS Fit and Proper Requirements (includes experience, qualifications, regulatory examinations and honesty and integrity requirements). Eminent Wealth Administration Services (Pty) Ltd accepts legal responsibility for those activities of the Representatives performed within the scope of his/her employment contract or mandate.

We confirm that our Representative is not rendering services under the supervision as described in the Determination of Fit & Proper requirements.

4. Exemptions with regard to any matter covered by the FAIS Act:

No specific exemption was granted specifically to Eminent Wealth Administration Services (Pty) Ltd by the Registrar.



5. Contracts with Product Suppliers:

We offer administration of group life policies – i.e. credit life, risk benefits relating to pension or provident funds, funeral products, ancillary products etc. from Fedgroup Life Limited.

Fedgroup Life Limited can be contacted on:

Tel: 011 305 2300

E-mail: info@fedgroup.co.za

6. Commissions and Fees:

Eminent Wealth Administration Services (Pty) Ltd reserves the right to charge a fee for professional services rendered. This fee will be negotiated and agreed before the conclusion of any transaction. Commissions and fees will be declared to clients with the conclusion of any transaction.

Our administration fee is calculated on the complexity and scope of the work undertaken for each client.

Commission: Eminent Wealth Administration Services (Pty) Ltd receives commissions from FedGroup Life Limited with which we interact on your behalf.

7. Compliance with Financial Advisory and Intermediary Services Act (FAIS):

The compliance of Eminent Wealth Administration Services (Pty) Ltd with the FAIS Act is monitored by Anél Naudé of Compli-Serve SA (Pty) Ltd, a licensed Compliance Practice, CO 6797 who is contactable on Tel. 0861 273 783 or email anel@compliserve.co.za.

8. Professional Indemnity, Fidelity Insurance or Guarantees:

Eminent Wealth Administration Services (Pty) Ltd holds professional indemnity and fidelity insurance cover.

9. Confidentiality:

We are committed to keeping all client information confidential and will not provide such information to third parties unless so authorised by our client, or if it is required to divulge such information in the public interest or under any law.



10. Conflicts of Interest:

In accordance with our conflicts management policy, we place a high priority on our clients' interests. We will endeavour to identify, manage and as far as reasonably possible avoid any such instances. A copy of our Conflicts of Interest Management Policy and Register is available on request to info@eminentwealth.co.za or (086) 163 6840

During the last 12 months Eminent Wealth Administration Services (Pty) Ltd received in excess of 30% of total remuneration, including commission from FedGroup Life Limited

Eminent Wealth Administration Services (Pty) Ltd has no shareholding in any product supplier.

11. Complaints:

In the event that you are dissatisfied with any aspect of our service you may send your complaint in writing to Annamarie White via email on annamarie@eminentwealth.co.za.

If you cannot settle your complaint with us, you are entitled to refer it to the FAIS Ombud. Contact particulars of the Ombud: PO Box 74571, Lynnwood Ridge 0040, Tel 012 470 9080, Fax 012 348 3447, info@faisombud.co.za; www.faisombud.co.za

12. Basis of Advice:

We do not provide financial advice as a general feature of our business. Please consult a professional financial advisor to ensure that our financial product / service is appropriate and will satisfy your unique financial needs.

13. Financial Intelligence Centre Act (FICA):

Please note that in terms of the Financial Intelligence Centre Act (FICA), Eminent Wealth Administration Services (Pty) Ltd is obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

14. Key Individual Confirmation:

I confirm that the content of this FAIS disclosure notice is correct.